



PATENT  
Docket No.150.01140101

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Sinha et al.	)	Group Art Unit:	1765
		)		
Serial No.:	10/028,040	)	Examiner:	Duy Deo
Confirmation No.:	2997	)		
		)		
Filed:	December 21, 2001	)		
		)		
For:	METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS			

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of candor and good faith that is to be demonstrated before the United States Patent and Trademark Office (USPTO), enclosed is a copy of the Written Opinions and International Preliminary Examination Report from the related PCT Application Number US02/40413. Also enclosed herewith are copies of documents which Applicant brings to the Examiner's attention as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Supplemental Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form is respectfully requested. Pursuant to the provisions of MPEP §609, Applicant further requests that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicants also wish to bring the Examiner's attention to the following pending U.S. Applications, as well as any documents, Office Actions that may include rejections of similar claims, and any provisional U.S. patent applications referenced in the pending U.S. applications or in their file wrappers. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

**Supplemental Information Disclosure Statement**

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Applicant(s): Sinha et al.

Serial No.: 10/028,040

Confirmation No.: 2997

Filed: December 21, 2001

For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS

**List of Pending Non-Published U.S. Patent Applications**

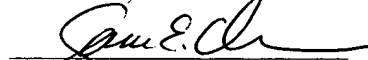
Applicant(s)	Application Number	Filing Date
Vaartstra	10/771,050	February 3, 2004

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.10:**

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Sara E. OLSON

"Express Mail" mailing label number:

EV 201890357 US


Date of Deposit: May 17, 2004

May 17, 2004  
Date

Respectfully submitted for  
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<b>INFORMATION DISCLOSURE STATEMENT</b> 	Atty. Docket No.: 150.01140101	Serial No.: 10/028,040
	Applicant(s): Sinha et al.	Confirmation No.: 2997
	Application Filing Date: December 21, 2001	Group: 1765
	Information Disclosure Statement mailed: May <u>17</u> , 2004	

## U.S. PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	X	5,318,927	06/07/94	Sandhu et al.			
	X	6,069,080	05/30/00	James et al.			
	X	6,730,592	05/04/04	Vaartstra			
	X	US 2002/0111026 A1	08/15/02	Small et al.			
	X	US 2003/0119316 A1	06/26/03	Klein et al.			
	X	US 2003/0119426 A1	06/26/03	Sabde			
	X	US 2003/0119321 A1	06/26/03	Uhlenbrock et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	X	WO 03/059571	07/24/03	PCT				
	X	WO 03/060980	07/24/03	PCT				
	X	WO 03/056620	07/10/03	PCT				
	X	WO 03/060028	07/24/03	PCT				

## OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copy Enclosed	Document Description
		None

EXAMINER	Date Considered
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	